

NUT AGI FRAMEWORK

Global Compliance Checklist & Implementation Guide

Master Compliance Roadmap











OVERVIEW

This document provides a comprehensive checklist of all compliance requirements for the global launch of Nut AGI Framework. It covers internal documentation, registrations, applications, operational requirements, and timeline milestones across all major jurisdictions.

Status Key:  Complete |  In Progress |  Pending |  Not Started

SECTION 1: Internal Documentation

Required for ALL jurisdictions before launch

Document	Status	Owner	Target Date
1. Intended Purpose Statement		Compliance Office	Complete
2. Technical Documentation (Annex IV)		ML Engineering Lead	Q3 2026
3. Risk Management File		Chief Executive Officer	Complete
4. Data Governance File		Data Protection Officer	Complete
5. Human Oversight Procedures		Chief AI Safety Officer	Complete
6. DPIA (Data Protection Impact Assessment)		Data Protection Officer	Q3 2026
7. Conformity Assessment Report		Compliance Office	Q4 2026
8. AI Literacy Training Records		HR / Training Lead	Q3 2026
9. Post-Market Monitoring Plan		Product Manager	Q3 2026
10. IP & Licensing Documentation		Legal Counsel	Q3 2026

SECTION 2: EU Compliance

Requirement	Status	Owner	Deadline
EU AI Act Database Pre-Registration (Annex VIII)	✓	Compliance Office	Ready for portal
Submit to EU Database (when portal live)	II	Compliance Office	On Launch
CE Marking & Declaration of Conformity	II	Compliance Office	Pre-launch
GDPR DPO Registration (if required)	🔄	Data Protection Officer	Q3 2026
EU Representative Designation (if non-EU)	II	Legal Counsel	Q3 2026
Fundamental Rights Impact Assessment (FRIA)	🔄	Ethics Committee	Q4 2026

SECTION 3: China Compliance

Requirement	Status	Owner	Deadline
AI Content Labeling (Explicit)	✓	Product Manager	Implemented
AI Content Labeling (Implicit Metadata)	✓	ML Engineering Lead	Implemented
User Agreement (China-specific clauses)	🔄	Legal Counsel	Complete
Audit Logs (≥6 months retention)	🔄	Infrastructure Lead	Q3 2026
PIPL Compliance (Personal Data Protection)	🔄	Data Protection Officer	Q3 2026
China Representative Designation	II	Legal Counsel	Q3 2026

SECTION 4: US Compliance

Requirement	Status	Owner	Deadline
CCPA/CPRA Privacy Notices	✓	Data Protection Officer	Complete
FTC Compliance Documentation	🔄	Legal Counsel	Q3 2026
SEC/FINRA Compliance (Financial Services)	🔄	Financial Compliance Officer	Q4 2026
NIST AI Risk Management Framework Alignment	🔄	Chief Executive Officer	Q3 2026
State Privacy Laws (VA, CO, CT, etc.)	🔄	Data Protection Officer	Q3 2026

SECTION 5: Other Jurisdictions

Jurisdiction	Requirement	Status	Owner	Deadline
UK	UK GDPR / DPO	🔄	DPO	Q3 2026
Singapore	PDPA Compliance	🔄	DPO	Q3 2026
Japan	APPI Compliance	🔄	DPO	Q3 2026
South Korea	PIPA Compliance	🔄	DPO	Q3 2026
Canada	PIPEDA / AIDA	🔄	DPO	Q3 2026

SECTION 6: Operational Systems

Infrastructure and systems required for compliance

System/Tool	Status	Owner	Target Date
Compliance Document Repository		IT / Compliance	Q3 2026
Audit Logging & Monitoring System		Infrastructure Lead	Q3 2026
AI Content Labeling Engine		ML Engineering	Complete
Consent & Privacy Management Platform		Product Manager	Q3 2026
Risk & Governance Dashboard		Chief Executive Officer	Q4 2026
Data Breach Response System		CISO	Q3 2026
Training Management System		HR	Q3 2026

SECTION 7: Launch Timeline & Milestones

Quarter	Milestone	Key Deliverables
Q2 2026	Pre-Beta Documentation Complete	• All internal docs (Sections 1-2) • Transparency templates • User agreements
Q3 2026	Compliance Infrastructure Ready	• Technical documentation (Annex IV) • DPIA completed • Training programs launched • Operational systems deployed
Q4 2026	Pre-Launch Validation & Registration	• Conformity assessment complete • CE marking obtained • EU database submission • Third-party audits • Beta validation complete
Dec 15, 2026	BETA LAUNCH	• Public release • Compliance monitoring active • Post-market surveillance begins
Q1 2026	Post-Launch Review & Optimization	• First quarterly compliance review • Performance validation against benchmarks • User feedback integration • Risk assessment update

Founder's Office

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